

**PART III – LIST OF DOCUMENTS, EXHIBITS, AND OTHER ATTACHMENTS**

**SECTION J**

**LIST OF ATTACHMENTS**

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**APPENDIX A – PERSONNEL APPENDIX**

In accordance with the Section H ~~clause entitled~~Contract Clause, entitled, “Human Resources,” if the selected Offeror and DOE need to reach an advance understanding on Contractor human resources it will be appended here.

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**APPENDIX B**  
**SPECIAL FINANCIAL INSTITUTION ACCOUNT AGREEMENT FOR USE WITH**  
**THE PAYMENTS CLEARED FINANCING AGREEMENT**

NOTE: THE OFFEROR SELECTED FOR AWARD WILL BE REQUIRED TO AWARD A COMPETITIVE SUBCONTRACT TO A ~~Financial~~FINANCIAL INSTITUTION DURING THE TRANSITION PERIOD.

(Name of Contractor)  
Contract Number XX-XXX-XXXXXXXXXX  
Department of Energy Account

This agreement entered into this, \_\_\_\_ day of \_\_\_\_\_, \_\_\_\_, between the UNITED STATES OF AMERICA, represented by the Department of Energy (hereinafter referred to as “DOE”) and \_\_\_\_\_ a corporation existing under the laws of the State of \_\_\_\_\_ (hereinafter referred to as the “Contractor”) and \_\_\_\_\_, a financial institution corporation existing under the laws of the State of \_\_\_\_\_, located at \_\_\_\_\_ (hereinafter referred to as the “Financial Institution”).

RECITALS

- (a) On the effective date of \_\_\_\_\_, \_\_\_\_\_, DOE and the Contractor entered into Agreement(s) No. \_\_\_\_\_, or a Supplemental Agreement(s) thereto, providing for the transfer of funds on a payments-cleared basis.
- (b) DOE requires that amounts transferred to the Contractor thereunder be deposited in a Special Bank Account at a financial institution covered by Department of the Treasury-approved Government deposit insurance organizations that are identified in I TFM 6-9000.

This Special Bank Account must be kept separate from the Contractor’s general or other funds, and the parties are agreeable to so depositing said amounts with the Financial Institution.

- (c) The Special Bank Account shall be designated [Name of Contractor], DOE Special Bank Account.

CONVENANTS

In consideration of the foregoing, and for other good and valuable considerations, it is agreed that--

- (1) The Government shall have a title to the credit balance in the Special Bank Account to secure the repayment of all funds transferred to the Contractor, and said title shall be superior to any lien, title or claim of the Financial Institution or others with respect to the Special Bank Account.
- (2) The Financial Institution shall be bound by the provisions of said Agreement(s) between DOE and the Contractor relating to the transfer of funds into and the withdrawal of funds from the Special Bank Account, which are hereby incorporated into this Agreement by reference, but the Financial Institution shall not be responsible for the application of funds withdrawn from the Special Bank Account. After receipt by the Financial Institution of written directions from DOE, the Financial Institution shall act thereon and shall be under no liability to any party hereto for any action taken in accordance with the said written directions.
- (3) DOE, or its authorized representatives, shall have access to financial records maintained by the Financial Institution with respect to such Special Bank Account at all reasonable times and for all reasonable purposes, including, but without limitation to, the inspection or copying of such financial records and any or all memoranda, checks, payment requests, correspondence, or documents pertaining thereto. Such financial records shall be preserved by the Financial Institution for a period of 6 years after the final payment under the Special Bank Account Agreement.
- (4) In the event of the service of any writ of attachment, levy of execution, or commencement of garnishment proceedings with respect to the Special Bank Account, the Financial Institution shall promptly notify DOE ~~at~~at:

U.S. Department of Energy  
Nevada Operations Office  
P.O. Box 98518  
Las Vegas, Nevada 89193-8518

- (5) DOE shall authorize funds that shall remain available to the extent that obligations have been incurred in good faith thereunder by the Contractor to the Financial Institution for the benefit of the Special Bank Account. The Financial Institution agrees to honor upon presentation for payment all payments issued by the Contractor and to restrict all withdrawals against the funds authorized to an amount sufficient to maintain the average daily account balance in a net positive and as close to zero as administratively possible.

The Financial Institution agrees to service the account in this manner based on the requirements and specifications contained in the Contractor's solicitation No. \_\_\_\_\_, dated \_\_\_\_\_, \_\_\_\_\_, which is attached to this Special Bank Account Agreement. The Financial Institution agrees that per-item costs, detailed in the form "Schedule of Financial Institution during the term of this Agreement.

- (6) The Financial Institution shall post collateral, acceptable under Department of the Treasury Circular 176, with the Federal Reserve Bank in an amount sufficient to collateralize the highest balance the Special Bank Account included in this Agreement, less the Department of the Treasury-approved deposit insurance.
- (7) This Special Bank Account Agreement, with all its provisions and covenants, shall be in effect for a term of \_\_\_\_ years, beginning on the \_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, and ending on the \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, unless earlier terminated as provided in this agreement.

The contractor has the option to extend the term of the Special Bank Account Agreement for up to \_\_\_\_\_ years. The total duration of this Special Bank Account Agreement, including the options, shall not exceed five years. Written notification of the option to extend will be provided by the Contractor ninety days prior to the expiration of this Agreement.

- (8) DOE, the Contractor, or the Financial Institution may terminate this Agreement at any time within the agreement period upon submitting written notification to the other parties 90 days prior to the desired termination date. The specific provisions for operating the account during this 90-day period are contained in Covenant 11.
- (9) DOE or the Contractor may terminate this Agreement at any time upon 30 days' written notice to the Financial Institution if DOE or the Contractor, or both parties, find that the Financial Institution has failed to substantially perform its obligations under this Agreement or that the Financial Institution is performing its obligations in a manner that precludes administering the program in an effective and efficient manner or that precludes the effective utilization of the Government's cash resources.
- (10) Notwithstanding the provisions of Covenants 8 and 9, in the event that the Agreement between DOE and the Contractor is not renewed or is terminated, this Special Bank Account Agreement between DOE, the Contractor, and the Financial Institution shall be terminated automatically upon the delivery of written notice to the Financial Institution.
- (11) In the event of termination, the Financial Institution agrees to retain the Contractor's Special Bank Account for an additional 90-day period after the effective termination date, to allow for clearance of outstanding payment items. During this 90-day period, DOE shall place on deposit in that account sufficient funds to cover all outstanding payments items presented for payment.

During the entire 90-day period, it is further understood that:

- (a) The Financial Institution shall maintain collateral in an amount sufficient to collateralize the highest balance in the Special Bank Account, less Federal Deposit Insurance Corporation coverage on the account.

- (b) All service charges shall be consistent with the amounts reflected in this Special Bank Account Agreement.
- (c) All terms and conditions of the bid submitted by Financial Institution that are not inconsistent with the 90-day additional term shall remain in effect.
- (d) This Agreement shall continue in effect, for the 90-day additional period, with exception of the following:
  - 1. Funds Authorized (Covenant 5)
  - 2. Term Agreement (Covenant 7)
  - 3. Termination of Agreement (Covenants 8 and 9)

All terms and conditions of the aforesaid bid submitted by the Financial Institution that are not inconsistent with this 90-day additional term shall remain in effect for this period.

The Financial Institution has submitted the forms entitled “Technical Representations and Certifications,” and “Schedule of Financial Institution Processing Charges.” These forms have been accepted by the Contractor and DOE and are incorporated herein with the document entitled “Financial Institution’s Information on the Payments Cleared Arrangement” as an integral part of this Agreement.

IN WITNESS WHEREOF the parties hereto have caused this Special Bank Account Agreement, which consists of \_\_\_\_\_ pages, to be executed as of the day and year first above written.

For: (The Contractor)

For: (The Financial Institution)

Signature \_\_\_\_\_  
÷

Signature \_\_\_\_\_  
÷

Name: \_\_\_\_\_

Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Signature: \_\_\_\_\_

Name: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

Date: \_\_\_\_\_

Title: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

Date: \_\_\_\_\_

For: The United States of America:

~~Signature~~ \_\_\_\_\_

~~Signature:~~ \_\_\_\_\_

Name: \_\_\_\_\_

~~Title:~~ Contracting  
Officer

~~Title:~~ Contracting Officer

Date: \_\_\_\_\_

#### CERTIFICATE

I, \_\_\_\_\_, certify that I am the \_\_\_\_\_ of corporation named as Contractor herein; ~~that~~ \_\_\_\_\_, ~~that~~ \_\_\_\_\_, who signed this Special Bank Account Agreement on behalf of the contractor, was then \_\_\_\_\_ of said corporation; and that said Special Bank Account Agreement was duly signed for in behalf of said corporation by authority of its governing body and is within the scope of its corporation powers.

\_\_\_\_\_  
(Corporate Seal) (Signature)

#### CERTIFICATE

I, \_\_\_\_\_, certify that I am the \_\_\_\_\_ of the corporation named as the Financial Institution herein; that \_\_\_\_\_, who signed this Agreement on behalf of the Financial Institution, was then \_\_\_\_\_ of said Bank; and that said Special Bank Account Agreement was duly signed for and in behalf of said Bank by authority of its governing body and is within the scope of its corporate powers.

\_\_\_\_\_  
(Corporate Seal) (Signature)

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**APPENDIX C –SMALL BUSINESS SUBCONTRACTING PLAN**

The Offeror's Small Business Subcontracting Plan will be placed here. Instructions for preparation of the plan are found in Section L, Attachment C.

Annual Plans for future fiscal years will be incorporated in the contract by modification.

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**APPENDIX D – KEY PERSONNEL**

Pursuant to the ~~clause entitled~~Section I, Contract Clause DEAR 970.5204-42, entitled, “Key Personnel,” the following positions are considered to be essential to work being performed.

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**APPENDIX E – ~~INTERIM~~ LIST OF APPLICABLE DIRECTIVES**

Pursuant to the ~~contract clause entitled~~ Section I, Contract Clause DEAR 970 5204-78, entitled, “Laws, Regulations, and DOE Directives,” the following interim list of Directives ~~on the next page~~ is applicable to this contract.

(Archived = cancelled or expired)

Directive No.	Directive Title	Dated	Applicability	Order Transition Action Required (Transition Notes)
<b>DOE POLICIES</b>				
<del>DOE P 251.1</del>	<del>Directives System</del>	<del>03-19-96</del>	<del>Applies to current OCRWM mission.</del> <del>No exclusion for NRC-regulated facilities.</del> <del>Applies to contractor.</del>	
<del>DOE P 430.1</del>	<del>Land and Facility Use Planning</del>	<del>07-09-96</del>	<del>Applies to current OCRWM mission.</del> <del>No exclusion for NRC-regulated facilities.</del> <del>Applies to contractor.</del>	
<u>DOE P 251.1A</u>	<u>Directives System</u>	<u>1-30-98</u>	<u>Applies to current OCRWM mission.</u> <u>No exclusion for NRC-regulated facilities.</u> <u>Applies to contractor.</u>	
<u>DOE P 430.1A</u>	<u>Land and Facility Use Planning</u>	<u>10-14-98</u>	<u>Applies to current OCRWM mission.</u> <u>No exclusion for NRC-regulated facilities.</u> <u>Applies to contractor.</u>	
DOE P 441.1	Department of Energy Radiological Health and Safety Policy	04-26-96	Applies to current OCRWM mission.  No exclusion for NRC-regulated facilities.  Applies to contractor.	
DOE P 450.1	Environment, Safety, and Health Policy	06-15-95		

	for the Department of Energy Complex		Applies to current OCRWM mission.  No exclusion for NRC-regulated facilities.  Applies to contractor.	
DOE P 450.2A	Identification, Implementation, and Compliance With Environment, Safety, and Health Requirements	05-15-96	Applies to current OCRWM mission.  No exclusion for NRC-regulated facilities.  Applies to contractor.	
DOE P 450.3	Authorizing Use of the Necessary and Sufficient Process for Standards-Based Environment, Safety, and Health Management	01-25-96	Applies to current OCRWM mission.  No exclusion for NRC-regulated facilities.  Applies to contractor.	
DOE P 450.4	Safety Management System Policy	10-15-96	Applies to current OCRWM mission.  No exclusion for NRC-regulated facilities.  Applies to contractor.	
DOE P 450.5	Line Environment, Safety, and Health Oversight	06-26-97	Applies to current OCRWM mission.  No exclusion for NRC-regulated facilities.  Applies to contractor.	
DOE P 450.6	Environment, Safety, and Health	04-14-98	<del>Applies to current OCRWM mission.</del>  <del>No exclusion for NRC-regulated facilities.</del>  <del>Applies to contractor.</del>	

<u>DOE P 450.6</u>	<u>Secretarial Policy Statement Environment, Safety, and Health</u>	<u>04-14-98</u>	<u>Applies to current OCRWM mission.</u>  <u>No exclusion for NRC-regulated facilities.</u>  <u>Applies to contractor.</u>	
<u>DOE P 551.1</u>	<u>International Trips</u>	<u>07-31-96</u>	<del><u>Applies to current OCRWM mission.</u></del>  <del><u>No exclusion for NRC-regulated facilities.</u></del>  <del><u>Applies to contractor.</u></del>	
<u>DOE P 551.1</u>	<u>Official Foreign Travel</u>	<u>1/31/00</u>	<u>Applies to current OCRWM mission.</u>  <u>No exclusion for NRC-regulated facilities.</u>  <u>Applies to contractor.</u>	
<u>DOE P 1210.1</u>	<u>Guidance on Implementation of the Department's Public Participation Policy</u>	<u>07-29-94</u>	<del><u>Applies to current OCRWM mission.</u></del>  <del><u>No exclusion for NRC-regulated facilities.</u></del>  <del><u>Applies to contractor.</u></del>	
<u>DOE P 1210.1</u>	<u>Public Participation</u>	<u>07-29-94</u>	<u>Applies to current OCRWM mission.</u>  <u>No exclusion for NRC-regulated facilities.</u>  <u>Applies to contractor.</u>	
<b>ORDERS</b>				

DOE O 130.1	Budget Formulation Process	09-29-95	<del>Applies to current OCRWM mission.</del> <del>No exclusion for NRC-regulated facilities.</del> <del>Includes CRD.</del>	<del>This Order cancels DOE Orders 5100.3, 5100.4, and 5100.6A.</del>
<u>DOE O 130.1</u>	<u>Budget Formulation</u>	<u>09-29-95</u>	<u>Applies to current OCRWM mission.</u> <u>No exclusion for NRC-regulated facilities.</u> <u>Includes CRD.</u>	<u>This Order cancels DOE Orders 5100.3, 5100.4, and 5100.6A.</u>
DOE O 137.1	Plan for Operating in the Event of a Lapse in Appropriations	09-04-98	<del>Applies to current OCRWM mission.</del> <del>No exclusion for NRC-regulated facilities.</del> <del>Includes CRD. Applies to contractor.</del>	<del>This Order cancels DOE Order 5500.6B.</del>
<u>DOE O 137.1A</u>	<u>Plan for Operating in the Event of a Lapse in Appropriations</u>	<u>08-30-99</u>	<u>Applies to current OCRWM mission.</u> <u>No exclusion for NRC-regulated facilities.</u> <u>Includes CRD. Applies to contractor.</u>	<u>This Order cancels DOE Order 5500.6B.</u>
DOE O 151.1 Change 001 Change 002	Comprehensive Emergency Management System	09-25-95 10-26-95 08-21-96	<del>Applies to current OCRWM mission.</del> <del>Activities regulated by NRC license excluded.</del> <del>Includes CRD. Applies to contractor.</del>	<del>Per Acquisition Letter AL 92-12, this Order cancels DOE Orders 5500.1B, 5500.2B, 5500.3A, 5500.4A, 5500.5A, 5500.7B, 5500.8A, 5500.9A, and 5500.10. The necessary crosswalk</del>

			<i>Notes: Although DOE O 151.1 does not apply to activities regulated under NRC, some YMP facilities would not be subject to NRC licensing, but would still be subject to DOE O 151.1. The M&amp;O will use NRC regulations for activities covered by License Application. Direction for Emergency Management Planning needs to contemplate dual regulation.</i>	and contract modification was completed in 1996.
<u>DOE O 151.1</u> <u>Change 001</u> <u>Change 002</u>	<u>Comprehensive Emergency</u> <u>Management System</u>	<u>09-25-95</u> <u>10-26-95</u> <u>08-21-96</u>	<u>Applies to current OCRWM mission.</u>  <u>Activities regulated by NRC license excluded.</u>  <u>Includes CRD. Applies to contractor.</u>  <i>Notes: Although DOE O 151.1 does not apply to activities regulated under NRC, some YMP facilities would not be subject to NRC licensing, but would still be subject to DOE O 151.1. The M&amp;O will use NRC regulations for activities covered by License Application. Direction for Emergency Management Planning needs to contemplate dual regulation.</i>	<del>Per Acquisition Letter AL 92-12, this</del>  <u>Order cancels DOE Orders 5500.1B,</u>  <u>5500.2B, 5500.3A, 5500.4A,</u>  <u>5500.5A, 5500.7B, 5500.8A,</u>  <u>5500.9A, and 5500.10. The</u>  <u>necessary crosswalk and contract</u>  <u>modification was completed in 1996.</u>
<u>DOE O 200.1</u>	<u>Information Management Program</u>	<u>09-30-96</u>	<del>Applies to current OCRWM mission.</del>  <del>Activities regulated by NRC license</del>  <del>excluded.</del>  <del>Includes CRD. Applies to contractor.</del>  <del>Note: Order applies until OCRWM activities are under NRC regulation. At that point, some activities may be exempted.</del>	<del>This Order cancels DOE Orders 1324.5B and 5900.1A; redesignates Orders 1130.8A, 1330.1D, 1410.2, 1450.3A, 1700.1, 1800.1A, and 5300.1C as guides; and redesignates Orders 1331.1D, 1340.1B, 1350.1, 1360.2B, 1450.4, 5300.2D, 5300.3D, 5300.4D, and 5650.2B as manuals.</del>
<u>DOE O 200.1</u>	<u>Information Management Program</u>	<u>09-30-96</u>	<u>Applies to current OCRWM mission.</u>	<u>This Order cancels DOE Orders 1324.5B and 5900.1A; redesignates Orders 1130.8A, 1330.1D, 1410.2, 1450.3A,</u>

			<u>Activities regulated by NRC license excluded.</u>  <u>Includes CRD. Applies to contractor.</u>  <u>Note: Order applies until OCRWM activities are under NRC regulation. At that point, some activities may be exempted.</u>	<u>1700.1, 1800.1A, and 5300.1C as guides; and redesignates Orders 1331.1D, 1340.1B, 1350.1, 1360.2B, 1450.4, 5300.2D, 5300.3D, 5300.4D, and 5650.2B as manuals.</u>
<u>DOE O 210.1</u> <u>Change 001</u> <u>Change 002</u>	<u>Performance Indicators and Analysis of Operations Information</u>	<u>09-27-95</u> <u>10-26-95</u> <u>05-01-96</u>	<u>Applies to current OCRWM mission.</u>  <u>No exclusion for NRC-regulated</u>  <u>_____ facilities.</u> <u>Includes CRD. Applies to contractor.</u>	<u>Per Acquisition Letter AL 95-12, this Order cancels DOE Order 5480.26. The required crosswalk and contract modification were completed in 1996.</u>
<u>DOE O 210.1</u> <u>Change 001</u> <u>Change 002</u>	<u>Performance Indicators and Analysis of Operations Information</u>	<u>09-27-95</u> <u>10-26-95</u> <u>05-01-96</u>	<u>Applies to current OCRWM mission.</u>  <u>No exclusion for NRC-regulated facilities.</u>  <u>Includes CRD. Applies to contractor.</u>	<u>Per Acquisition Letter AL 95-12, this Order cancels DOE Order 5480.26. The required crosswalk and contract modifications were completed in 1996.</u>
<u>DOE O 224.1</u>	<u>Contractor Performance-Based Management Process</u>	<u>12-08-97</u>	<u>Applies to current OCRWM mission.</u>  <u>No exclusion for NRC-regulated</u>  <u>_____ facilities.</u> <u>Includes CRD. Applies to contractor.</u>	
<u>DOE O 224.1</u>	<u>Contractor Performance-Based Business Management Process</u>	<u>12-08-97</u>	<u>Applies to current OCRWM mission.</u>  <u>No exclusion for NRC-regulated facilities.</u>  <u>Includes CRD. Applies to contractor.</u>	
<u>DOE O 225.1A</u>	<u>Accident Investigations</u>	<u>11-26-97</u>		<u>This Order cancels DOE Order 5484.1, Paragraphs 1B5, 6a(1B10), 6b, 6f(1B18);</u>

			<del>Applies to current OCRWM mission.</del>	<del>and the second 6f and Chapters I and II.</del>
			<del>No exclusion for NRC-regulated</del>	
			<del>_____ facilities.</del>	
			<del>Includes CRD. Applies to contractor.</del>	
<u>DOE O 225.1A</u>	<u>Accident Investigations</u>	<u>11-26-97</u>	<u>Applies to current OCRWM mission.</u>	<u>This Order cancels DOE Order 5484.1, Paragraphs 1B5, 6a(1B10), 6b, 6f(1B18), and the second 6f and Chapters I and II.</u>
			<u>No exclusion for NRC-regulated facilities.</u>	
			<u>Includes CRD. Applies to contractor.</u>	
<del>DOE O 231.1 Change 001 Change 002</del>	<del>Environment, Safety, and Health Reporting</del>	<del>09-30-95 10-26-95 11-07-97</del>	<del>Applies to current OCRWM mission.</del>	<del>This Order cancels paragraphs from DOE Orders 5400.1, 5400.2A, 5400.5, 5440.1E, 5480.3, 5480.26, 5483.1A, 5484.1, 5630.12A, and 5634.1B. See specific Orders for details. See also notes for DOE O 210.1 and 440.1A.</del>
			<del>No exclusion for NRC-regulated</del>	
			<del>_____ facilities.</del>	
			<del>Includes CRD. Applies to contractor.</del>	
<u>DOE O 231.1 Change 001 Change 002</u>	<u>Environment, Safety, and Health Reporting</u>	<u>09-30-95 10-26-95 11-07-97</u>	<u>Applies to current OCRWM mission.</u>	<u>This Order cancels paragraphs from DOE Orders 5400.1, 5400.2A, 5400.5, 5440.1E, 5480.3, 5480.26, 5483.1A, 5484.1, 5630.12A, and 5634.1B. See specific Orders for details. See also notes for DOE O 210.1 and 440.1A.</u>
			<u>No exclusion for NRC-regulated facilities.</u>	
			<u>Includes CRD. Applies to contractor.</u>	
<del>DOE O 232.1A</del>	<del>Occurrence Reporting and Processing of Operations Information</del>	<del>07-21-97</del>	<del>Applies to current OCRWM mission.</del>	<del>This Order cancels DOE Order 5000.3B.</del>
			<del>Excludes operations information regulated</del>	<del>Crosswalk was completed in 1999.</del>
			<del>by NRC.</del>	
			<del>Includes CRD. Applies to contractor.</del>	
			<del>Note: Not all OCRWM activities will involve operations regulated under NRC</del>	

			<del>license. ORPS program needs to consider inclusion of NRC reporting at that time.</del>	
<u>DOE O 232.1A</u>	<u>Occurrence Reporting and Processing of Operations Information</u>	<u>07-21-97</u>	<u>Applies to current OCRWM mission.</u>  <u>Excludes operations information regulated by NRC.</u>  <u>Includes CRD. Applies to contractor.</u>  <u>Note: Not all OCRWM activities will involve operations regulated under NRC license. ORPS program needs to consider inclusion of NRC reporting at that time.</u>	<u>This Order cancels DOE Order 5000.3B. Crosswalk was completed in 1999.</u>
DOE O 241.1	Scientific and Technical Information Management	08-17-98	Applies to current OCRWM mission.  No exclusion for NRC-regulated facilities.  Includes CRD. Applies to contractor.	This Order cancelled outright DOE Order 1430.D and guide.
<del>DOE O 251.1A</del>	<del>Directives System</del>	<del>01-30-98</del>	<del>Applies to current OCRWM mission.</del>  <del>No exclusion for NRC-regulated facilities.</del>  <del>Includes CRD. Applies to contractor.</del>	
<u>DOE O 251.1A</u>	<u>Directives System</u>	<u>01-30-98</u>	<u>Applies to current OCRWM mission.</u>  <u>No exclusion for NRC-regulated facilities.</u>  <u>Includes CRD. Applies to contractor.</u>	
<del>DOE O 311.1A</del>	<del>Equal Employment Opportunity Program</del>	<del>12-30-96</del>	<del>Applies to current OCRWM mission.</del>	

			<del>No exclusion for NRC-regulated —— facilities. Includes CRD. Applies to contractor.</del>	
<u>DOE O 311.1A</u>	<u>Equal Employment Opportunity and Diversity Program</u>	<u>12-30-96</u>	<u>Applies to current OCRWM mission.</u>  <u>No exclusion for NRC-regulated facilities.</u>  <u>Includes CRD. Applies to contractor.</u>	
<u>DOE O 350.1</u>	<u>Contractor Human Resource Management Programs</u>	<u>09-30-96</u>	<del>Applies to current OCRWM mission. Activities regulated under NRC license excluded. Includes CRD. Applies to contractor.</del>	<del>This Order cancels DOE Orders 3220.1, 3220.4A, 3220.6A, 3309.1A, 3830.1, and 3890.1A.</del>
<u>DOE O 350.1</u>  <u>Change 001</u>	<u>Contractor Human Resource Management Programs</u>	<u>09-30-96</u>  <u>05/08/98</u>	<u>Applies to current OCRWM mission.</u>  <u>Activities regulated under NRC license excluded.</u>  <u>Includes CRD. Applies to contractor.</u>	<u>This Order cancels DOE Orders 3220.1, 3220.4A, 3220.6A, 3309.1A, 3830.1, and 3890.1A.</u>
<u>DOE O 413.1</u>	<u>Management Control Program</u>	<u>12-06-95</u>	<del>Applies to current OCRWM mission.  No exclusion for NRC-regulated —— facilities. Includes CRD. Applies to contractor.</del>	<del>This Order cancels DOE Order 1000.3B.</del>
<u>DOE O 413.1</u>	<u>Management Control Program</u>	<u>12-06-95</u>	<u>Applies to current OCRWM mission.</u>	<u>This Order cancels DOE Order 1000.3B.</u>

			<u>No exclusion for NRC-regulated facilities.</u>	
			<u>Includes CRD. Applies to contractor.</u>	
DOE O 414.1	Quality Assurance	11-24-98	<del>Applies to current OCRWM mission.</del> <del>Activities under QARD excluded.</del> <del>Includes CRD. Applies to contractor.</del>	<del>This Order cancels DOE Order 5700.6C.</del>
<u>DOE O 414.1</u>	<u>Quality Assurance</u>	<u>11-24-98</u> <u>09-29-99</u>	<u>Applies to current OCRWM mission.</u> <u>Activities under QARD excluded.</u> <u>Includes CRD. Applies to contractor.</u>	<u>This Order cancels DOE Order 5700.6C.</u>
DOE O 420.1 Change 001 Change 002	Facility Safety	10-13-95 11-16-95 10-24-96	Applies to current OCRWM mission.  Activities regulated under NRC license excluded.  Includes CRD.  <i>Note: Not all OCRWM facilities will qualify for NRC exemption. Furthermore, NRC requirements do not address occupational safety requirements or environmental protection requirements other than radiation.</i>	This Order cancels DOE Orders 5480.28, 5480.7A, 5480.24, and 6430.1A. See also note for DOE O 430.1.
DOE O 430.1	Life Cycle Asset Management	11-14-98	<del>Applies to current OCRWM mission.</del>  <del>No exclusion for NRC-regulated</del>  <del>facilities.</del> <del>Includes CRD. Applies to contractor.</del>	<del>This Order provides for the phased cancellation of the following DOE Orders only upon meeting implementation conditions: 1332.1A, 4010.1A, 4300.1C, 4320.1B, 4320.2A, 4330.4B, 4330.5, 4540.1C, 4700.1, 4700.3, 4700.4, 5700.2D, and 6430.1A. This was completed upon issuance of the CRWMS Strategic System Management Policy in April 1998.</del>
<u>DOE O 430.1A</u>	<u>Life-Cycle Asset Management</u>	<u>10-14-98</u>	<u>Applies to current OCRWM mission.</u>  <u>No exclusion for NRC-regulated facilities.</u>	<u>This Order provides for the phased cancellation of the following DOE Orders only upon meeting implementation conditions: 1332.1A, 4010.1A, 4300.1C, 4320.1B, 4320.2A,</u>

			<u>Includes CRD. Applies to contractor.</u>	<u>4330.4B, 4330.5, 4540.1C, 4700.1, 4700.3, 4700.4, 5700.2D, and 6430.1A. This was completed upon issuance of the CRWMS Strategic System Management Policy in April 1998.</u>
<u>DOE O 430.2</u>	<u>In-House Energy Management</u>	<u>06-13-96</u>	<del>Applies to current OCRWM mission.</del> <del>No exclusion for NRC-regulated facilities.</del> <del>No CRD, but applies to M&amp;O.</del>	<del>This Order cancels DOE Order 4330.2D.</del>
<u>DOE O 430.2</u>	<u>In-House Energy Management</u>	<u>06-13-96</u>	<u>Applies to current OCRWM mission.</u> <u>No exclusion for NRC-regulated facilities.</u> <u>No CRD, but applies to M&amp;O.</u>	<u>This Order cancels DOE Order 4330.2D.</u>
<u>DOE O 440.1A</u>	<u>Worker Protection Management for DOE Federal and Contractor Employees</u>	<u>03-27-98</u>	<del>Applies to current OCRWM mission.</del> <del>No exclusion for NRC-regulated facilities.</del> <del>Includes CRD. Applies to contractor.</del>	<del>Per Acquisition Letter AL 95-12, this Order cancels DOE Orders 3790.1B (except Chapter VIII), 5480.7A, 5480.8A, 5480.9A, 5480.10, 5480.16A, and 5483.1. Crosswalks and the contract modification were completed in 1996.</del>
<u>DOE O 440.1A</u>	<u>Worker Protection Management for DOE Federal and Contractor Employees</u>	<u>03-27-98</u>	<u>Applies to current OCRWM mission.</u> <u>No exclusion for NRC-regulated facilities.</u> <u>Includes CRD. Applies to contractor.</u>	<u>Per Acquisition Letter AL 95-12, this Order cancels DOE Orders 3790.1B (except Chapter VIII), 5480.7A, 5480.8A, 5480.9A, 5480.10, 5480.16A, and 5483.1. Crosswalks and the contract modification were completed in 1996.</u>
<u>DOE O 440.2 Change 001 Change 002</u>	<u>Aviation</u>	<u>09-25-95</u> <u>10-13-95</u> <u>10-26-95</u>	<del>Applies to current OCRWM mission.</del>	<del>This Order cancels DOE Order 5480.13A. Crosswalk was completed in 1999.</del>

			<del>No exclusion for NRC-regulated —— facilities. Includes CRD. Applies to contractor.</del>	
<u>DOE O 440.2</u> <del>Change 001</del> <del>Change 002</del>	<u>Aviation</u>	<u>09-25-95</u> <u>10-13-95</u> <u>10-26-95</u>	<u>Applies to current OCRWM mission.</u>  <u>No exclusion for NRC-regulated facilities.</u>  <u>Includes CRD. Applies to contractor.</u>	<u>This Order cancels DOE Order 5480.13A. Crosswalk was completed in 1999.</u>
<u>DOE O 442.1</u>	<u>Employee Concerns Program</u>	<u>02-01-99</u>	<del>Applies to current OCRWM mission.</del>  <del>No exclusion for NRC-regulated —— facilities. No CRD, but applies to contractor.</del>	<del>This Order cancels DOE Order 5480.29.</del>
<u>DOE O 442.1</u>	<u>Department of Energy Employee Concerns Program</u>	<u>02-01-99</u>	<u>Applies to current OCRWM mission.</u>  <u>No exclusion for NRC-regulated facilities.</u>  <u>No CRD, but applies to contractor.</u>	<u>This Order cancels DOE Order 5480.29.</u>
<u>DOE O 460.1A</u>	<u>Packaging and Transportation Safety</u>	<u>10-02-96</u>	<del>Applies to current OCRWM mission.</del>  <del>Excludes activities regulated under —— NRC license. Includes CRD. Applies to contractor.</del>  <del>Note: Order will apply to those activities not covered by NRC license.</del>	<del>This Order cancels DOE Orders 1540.2 and 5480.3. Crosswalk was completed in 1999.</del>
<u>DOE O 460.1A</u>	<u>Packaging and Transportation Safety</u>	<u>10-02-96</u>	<u>Applies to current OCRWM mission.</u>	<u>This Order cancels DOE Orders 1540.2 and 5480.3. Crosswalk was completed in</u>

			<del>Excludes activities regulated under NRC license.</del>  <del>Includes CRD. Applies to contractor.</del>  <del>Note: Order will apply to those activities not covered by NRC license.</del>	<del>1999.</del>
DOE O 460.2	Departmental Materials Transportation and Packaging Management	09-27-95	<del>Applies to current OCRWM mission.</del>  <del>Excludes requirements that overlap or duplicate those of NRC.</del>  <del>Includes CRD. Applies to contractor.</del>  <del>Note: Order will apply to those activities not covered by NRC license.</del>	<del>This Order cancels DOE Orders 1540.1A, 1540.2, and 1540.3A. Crosswalk was completed in 1999.</del>
<u>DOE O 460.2 Change 001</u>	<u>Departmental Materials Transportation and Packaging Management</u>	<u>09-27-95 10-26-95</u>	<u>Applies to current OCRWM mission.</u>  <u>Excludes requirements that overlap or duplicate those of NRC.</u>  <u>Includes CRD. Applies to contractor.</u>  <u>Note: Order will apply to those activities not covered by NRC license.</u>	<u>This Order cancels DOE Orders 1540.1A, 1540.2, and 1540.3A. Crosswalk was completed in 1999.</u>
DOE O 470.1	Safeguards and Security Program	09-28-95	<del>Applies to current OCRWM mission.</del>  <del>Excludes activities regulated under NRC license.</del>  <del>Includes CRD. Applies to contractor.</del>  <del>Note: Order will apply to those activities not covered by NRC license</del>	<del>This Order cancels DOE Orders 5630.11B, 5630.13A, 5630.14A, 5630.15, 5630.16A, 5630.17, 5631.1C, 5631.4A, 5634.1B, 5634.3, and 5639.3.</del>

<u>DOE O 470.1 Change 001</u>	<u>Safeguards and Security Program</u>	<u>09-28-95</u>	<u>Applies to current OCRWM mission.</u>  <u>Excludes activities regulated under NRC license.</u>  <u>Includes CRD. Applies to contractor.</u>  <u>Note: Order will apply to those activities not covered by NRC license</u>	<u>This Order cancels DOE Orders 5630.11B, 5630.13A, 5630.14A, 5630.15, 5630.16A, 5630.17, 5631.1C, 5631.4A, 5634.1B, 5634.3, and 5639.3.</u>
<u>DOE O 470.2</u>	<u>Safeguards and Security Independent Oversight Program</u>	<u>12-23-98</u>	<del><u>Applies to current OCRWM mission.</u></del>  <del><u>No exclusion for NRC-regulated</u></del>  <del><u>_____ facilities.</u></del> <del><u>Includes CRD. Applies to contractor.</u></del>	<del><u>This Order cancels DOE Order 5630.12A.</u></del>
<u>DOE O 470.2A</u>	<u>Security and Emergency Management Independent Oversight and Performance Assurance Program</u>	<u>12-23-98 03/01/00</u>	<u>Applies to current OCRWM mission.</u>  <u>No exclusion for NRC-regulated facilities.</u>  <u>Includes CRD. Applies to contractor.</u>	<u>This Order cancels DOE Order 5630.12A.</u>
<del><u>DOE O 471.1</u></del>	<del><u>Identification and Protection of Unclassified Controlled Nuclear Information</u></del>	<del><u>09-25-95</u></del>	<del><u>Applies to current OCRWM mission.</u></del>  <del><u>No exclusion for NRC-regulated</u></del>  <del><u>_____ facilities.</u></del> <del><u>Includes CRD. Applies to contractor.</u></del>	<del><u>This Order cancels DOE Orders 5635.4 and 5650.</u></del>
<u>DOE O 471.1</u>	<u>Identification and Protection of Unclassified Controlled Nuclear Information</u>	<u>09-25-95</u>	<u>Applies to current OCRWM mission.</u>  <u>No exclusion for NRC-regulated facilities.</u>  <u>Includes CRD. Applies to contractor.</u>	<u>This Order cancels DOE Orders 5635.4 and 5650.</u>
<u>DOE O 471.2A</u>	<u>Information Security Program</u>	<u>03-27-97</u>		<u>This Order cancels DOE</u>

			<p>Applies to current OCRWM mission.</p> <p>Excludes requirements that overlap or duplicate those of NRC.</p> <p>Includes CRD. Applies to contractor.</p> <p><i>Note: Order will apply to those activities not covered by NRC license</i></p>	Orders 5630.8A, 5639.1, 5639.5, 5639.6A, and 5639.7.
<del>DOE O 472.1B</del>	<del>Personnel Security Activities</del>	<del>03-24-97</del>	<p><del>Applies to current OCRWM mission.</del></p> <p><del>No exclusion for NRC-regulated</del></p> <p><del>_____ facilities.</del></p> <p><del>Includes CRD. Applies to contractor.</del></p>	<del>This Order cancels DOE Order 5631.2C, Chapters IBIX.</del>
<u>DOE O 472.1B</u>	<u>Personnel Security Activities</u>	<u>03-24-97</u>	<p><u>Applies to current OCRWM mission.</u></p> <p><u>No exclusion for NRC-regulated facilities.</u></p> <p><u>Includes CRD. Applies to contractor.</u></p>	<u>This Order cancels DOE Order 5631.2C, Chapters IBIX.</u>
<del>DOE O 481.1</del>	<del>Work for Others (Non-Department of Energy Funded Work)</del>	<del>09-30-96</del>	<p><del>Applies to current OCRWM mission.</del></p> <p><del>No exclusion for NRC-regulated</del></p> <p><del>_____ facilities.</del></p> <p><del>Includes CRD. Applies to contractor.</del></p>	<del>This Order cancels DOE Order 4300.2C.</del>
<u>DOE O 481.1</u>	<u>Work for Others (Non-Department of Energy Funded Work)</u>	<u>09-30-96</u>	<p><u>Applies to current OCRWM mission.</u></p> <p><u>No exclusion for NRC-regulated facilities.</u></p>	<u>This Order cancels DOE Order 4300.2C.</u>

			<u>Includes CRD. Applies to contractor.</u>	
<del>DOE O 534.1</del>	<del>Accounting</del>	<del>09-29-95</del>	<del>Applies to current OCRWM mission.</del> <del>No exclusion for NRC-regulated</del> <del>—— facilities.</del> <del>Includes CRD. Applies to contractor.</del>	<del>This Order cancels DOE Orders 2100.3A, 2200.4, 2200.5B, 2200.6A, 2200.7, 2200.8B, 2200.9B, and 2200.10A.</del>
<u>DOE O 534.1</u>	<u>Accounting</u>	<u>09-29-95</u>	<u>Applies to current OCRWM mission.</u> <u>No exclusion for NRC-regulated facilities.</u> <u>Includes CRD. Applies to contractor.</u>	<u>This Order cancels DOE Orders 2100.3A, 2200.4, 2200.5B, 2200.6A, 2200.7, 2200.8B, 2200.9B, and 2200.10A.</u>
<del>DOE O 1220.1A Change 001</del>	<del>Congressional and Intergovernmental Affairs</del>	<del>04-09-92 06-28-92</del>	<del>Applies to current OCRWM mission.</del> <del>No exclusion for NRC-regulated</del> <del>—— facilities.</del> <del>No CRD, but applies to contractor.</del>	
<u>DOE O 1220.1A Change 001</u>	<u>Congressional and Intergovernmental Affairs</u>	<u>04-09-92 06-28-92</u>	<u>Applies to current OCRWM mission.</u> <u>No exclusion for NRC-regulated facilities.</u> <u>No CRD, but applies to contractor.</u>	
<del>DOE O 1230.2</del>	<del>American Indian Tribal Government Policy</del>	<del>04-08-92</del>	<del>Applies to current OCRWM mission.</del> <del>No exclusion for NRC-regulated</del> <del>—— facilities.</del>	

			<del>No CRD, but applies to contractor.</del>	
<u>DOE O 1230.2</u>	<u>American Indian Tribal Government Policy</u>	<u>04-08-92</u>	<u>Applies to current OCRWM mission.</u>  <u>No exclusion for NRC-regulated facilities.</u>  <u>No CRD, but applies to contractor.</u>	
<u>DOE O 1240.2B</u>	<u>Unclassified Visits and Assignments by Foreign Nationals</u>	<u>08-21-92</u>	<del>Applies to current OCRWM mission.</del>  <del>No exclusion for NRC-regulated</del>  <del>facilities.</del> <del>No CRD, but applies to contractor.</del>	
<u>DOE O 1240.2B</u> <u>Change 001</u>  <u>"ARCHIVED"</u>	<u>Unclassified Visits and Assignments by Foreign Nationals</u>	<u>08-21-92</u> <u>09-03-92</u>	<u>Applies to current OCRWM mission.</u>  <u>No exclusion for NRC-regulated facilities.</u>  <u>No CRD, but applies to contractor.</u>	
<u>DOE O 1270.2B</u>	<u>Safeguards Agreement With the International Atomic Energy Agency</u>	<u>06-23-92</u>	<del>Applies to current OCRWM mission.</del>  <del>No exclusion for NRC-regulated</del>  <del>facilities.</del> <del>No CRD, but applies to contractor.</del>	
<u>DOE O 1270.2B</u>	<u>Safeguards Agreement With the International Atomic Energy Agency</u>	<u>06-23-92</u>	<u>Applies to current OCRWM mission.</u>  <u>No exclusion for NRC-regulated facilities.</u>	

			<u>No CRD, but applies to contractor.</u>	
<u>DOE O 1300.2A</u>	<u>Department of Energy Technical Standards Program</u>	<u>05-19-92</u>	<del>Applies to current OCRWM mission.</del> <del>No exclusion for NRC-regulated</del> <del>_____ facilities.</del> <del>No CRD, but applies to contractor.</del>	
<u>DOE O 1300.2A</u>  <u>"ARCHIVED"</u>	<u>Department of Energy Technical Standards Program</u>	<u>05-19-92</u>	<u>Applies to current OCRWM mission.</u>  <u>No exclusion for NRC-regulated facilities.</u>  <u>No CRD, but applies to contractor.</u>	
<u>DOE O 1500.3 Through Change 007</u>	<u>Foreign Travel Authorization</u>	<u>11-10-86</u> <u>07-06-94</u>	<del>Applies to current OCRWM mission.</del>  <del>No exclusion for NRC-regulated</del>  <del>_____ facilities.</del> <del>Applies to contractor.</del>	
<u>DOE P 1500.3 Through Change 007</u>  <u>"ARCHIVED"</u>	<u>Foreign Travel Authorization</u>	<u>11-10-86</u> <u>07-06-94</u>	<u>Applies to current OCRWM mission.</u>  <u>No exclusion for NRC-regulated facilities.</u>  <u>Applies to contractor.</u>	
<u>DOE O 2030.4B</u>	<u>Reporting Fraud, Waste, and Abuse to the Office of the Inspector General</u>	<u>05-18-92</u>	<del>Applies to current OCRWM mission.</del>  <del>No exclusion for NRC-regulated</del>  <del>_____ facilities.</del>	

			<del>No CRD, but applies to contractor.</del>	
<u>DOE O 2030.4B</u>	<u>Reporting Fraud, Waste, and Abuse to the Office of the Inspector General</u>	<u>05-18-92</u>	<u>Applies to current OCRWM mission.</u>  <u>No exclusion for NRC-regulated facilities.</u>  <u>No CRD, but applies to contractor.</u>	
DOE O 2100.8A	Cost Accounting, Cost Recovery, and Interagency Sharing of Information Technology Facilities	01-27-93	Applies to current OCRWM mission.  No exclusion for NRC-regulated facilities.  No CRD, but applies to contractor.	
<u>DOE O 2110.1A</u> <u>Change 001</u> <u>Change 002</u>	<u>Pricing of Departmental Materials and Services</u>	<u>07-14-88</u> <u>10-05-88</u> <u>05-18-92</u>	<del>Applies to current OCRWM mission.</del>  <del>No exclusion for NRC-regulated</del>  <del>_____ facilities.</del> <del>No CRD, but applies to contractor.</del>	
<u>DOE O 2110.1A</u> <u>Change 001</u> <u>Change 002</u>	<u>Pricing of Departmental Materials and Services</u>	<u>07-14-88</u> <u>10-05-88</u> <u>05-18-92</u>	<u>Applies to current OCRWM mission.</u>  <u>No exclusion for NRC-regulated facilities.</u>  <u>No CRD, but applies to contractor.</u>	
<u>DOE O 2300.1B</u>	<u>Audit Resolution and Followup</u>	<u>06-08-92</u>	<del>1. Applies to current OCRWM mission.</del>	
<u>DOE O 2300.1B</u>	<u>Audit Resolution and Follow-up</u>	<u>06-08-92</u>	<u>Applies to current OCRWM mission.</u>	

<u>DOE O 2321.1B</u>	<u>Auditing of Programs and Operations</u>	<u>05-14-92</u>	<u>1. Applies to current OCRWM mission.</u>	
<u>DOE O 2320.1C</u>	<u>Cooperation with the Office of the Inspector General</u>	<u>05-18-92</u>	<u>Applies to current OCRWM mission.</u> <u>No exclusion for NRC-regulated facilities.</u> <u>Applies to contractor.</u>	
<u>DOE O 2321.1B</u>	<u>Auditing of Programs and Operations</u>	<u>05-14-92</u>	<u>Applies to current OCRWM mission.</u>	
<u>DOE O 5400.1</u>	<u>General Environmental Protection Program (Portions Apply)</u>	<u>11-09-88</u>	<u>Applies to current OCRWM mission.</u> <u>No exclusion for NRC-regulated</u> <u>_____ facilities.</u> <u>No CRD, but applies to contractor.</u>	<u>Per Acquisition Letter AL 95-12, DOE Order 231.1 cancelled Chapter II; Chapter III, Paragraph 2d and the second sentence of Paragraph 3(b); and Chapter IV, Paragraph 10(c) of this Order. Crosswalk of these requirements has been completed.</u>
<u>DOE O 5400.1</u> <u>Change 001</u>	<u>General Environmental Protection Program (Portions Apply)</u>	<u>11-09-88</u> <u>06-29-90</u>	<u>Applies to current OCRWM mission.</u> <u>No exclusion for NRC-regulated facilities.</u> <u>No CRD, but applies to contractor.</u>	<u>Per Acquisition Letter AL 95-12, DOE Order 231.1 cancelled Chapter II; Chapter III, Paragraph 2d and the second sentence of Paragraph 3(b); and Chapter IV, Paragraph 10(c) of this Order. Crosswalk of these requirements has been completed.</u>
<u>DOE O 5400.5</u> <u>Change 001</u> <u>Change 002</u>	<u>Radiation Protection of the Public and the Environment (Portions Apply)</u>	<u>02-08-90</u> <u>06-05-90</u> <u>01-07-93</u>	<u>Applies to current OCRWM mission.</u> <u>Requirements that duplicate those of</u> <u>_____ NRC under license are excluded.</u> <u>No CRD, but applies to contractor.</u>	<u>Per Acquisition Letter AL 95-12, DOE Order 231.1 cancelled Chapter III, Paragraph 1a(3)(a), of this Order. Crosswalk of these requirements has been completed.</u>
<u>DOE O 5400.5</u> <u>Change 001</u> <u>Change 002</u>	<u>Radiation Protection of the Public and the Environment (Portions Apply)</u>	<u>02-08-90</u> <u>06-05-90</u> <u>01-07-93</u>	<u>Applies to current OCRWM mission.</u>	<u>Per Acquisition Letter AL 95-12, DOE Order 231.1 cancelled Chapter III, Paragraph 1a(3)(a), of this Order. Crosswalk of</u>

			Requirements that duplicate those of NRC under  license are excluded.  No CRD, but applies to contractor.	these requirements has been completed.
DOE O 5480.4 Through Change 004	Environmental Protection, Safety, and Health Protection Standards (Portions Apply)	05-15-84 01-07-93	<del>Applies to current OCRWM mission.</del> <del>Requirements that duplicate those of NRC under license are excluded.</del> <del>No CRD, but applies to contractor.</del>	Per Acquisition Letter AL 95-12, DOE Order 440.1 cancelled Attachment 2, Paragraphs 2c, 2d(2) through 2d(3), 2e(1) through 2e(8), and Attachment 3, Paragraphs 2c, 2d(2) through 2d(3), 2e(1) through 2e(8), of this Order. Crosswalk of these requirements has been completed.
<u>DOE O 5480.4</u>  <u>Change 004</u>	<u>Environmental Protection, Safety, and</u> <u>Health Protection Standards (Portions</u> <u>Apply)</u>	<u>05-15-84</u>  <u>01-07-93</u>	<u>Applies to current OCRWM mission.</u>  <u>Requirements that duplicate those of NRC under</u>  <u>license are excluded.</u>  <u>No CRD, but applies to contractor.</u>	<u>Per Acquisition Letter AL 95-12,</u> <u>DOE Order 440.1 cancelled</u> <u>Attachment 2, Paragraphs 2c,</u> <u>2d(2) through 2d(3), 2e(1)</u> <u>through 2e(8), and Attachment 3,</u> <u>Paragraphs 2c, 2d(2) through</u> <u>2d(3), 2e(1) through 2e(8), of this</u> <u>Order. Crosswalk of these</u> <u>requirements has been completed.</u>
DOE O 5480.19 Change 001	Conduct of Operations Requirements for DOE Facilities	07-09-90 05-18-92	<del>Applies to current OCRWM mission.</del>  <del>No exclusion for NRC-regulated</del>  <del>facilities.</del> <del>No CRD, but applies to contractor.</del>	
<u>DOE O 5480.19</u> <u>Change 001</u>	<u>Conduct of Operations Requirements</u> <u>for DOE Facilities</u>	<u>07-09-90</u> <u>05-18-92</u>	<u>Applies to current OCRWM mission.</u>  <u>No exclusion for NRC-regulated facilities.</u>  <u>No CRD, but applies to contractor.</u>	
DOE O 5480.20A	Personnel Selection, Qualification, and Training Requirements for DOE	11-15-94		

	Nuclear Facilities		<del>Applies to current OCRWM mission.</del> <del>No exclusion for NRC-regulated</del> <del>facilities.</del> <del>No CRD, but applies to contractor.</del>  <del>Note: No exclusion for NRC-regulated facilities is discussed, but the Order is based on NRC standards.</del>	
DOE O 5480.20A	Personnel Selection, Qualification, and Training Requirements for DOE Nuclear Facilities	11-15-94	<u>Applies to current OCRWM mission.</u>  <u>No exclusion for NRC-regulated facilities.</u>  <u>No CRD, but applies to contractor.</u>  <u>Note: No exclusion for NRC-regulated facilities is discussed, but the Order is based on NRC standards.</u>	
DOE O 5481.1B	Safety Analysis and Review Program	Unknown	<del>Retained as project requirement</del> <del>necessary for safety.</del> <del>Applies to contractor.</del>	<del>Based on the crosswalk conducted pursuant to Acquisition Letter AL 95-12, this Order has been retained as a YMP safety requirement.</del>
DOE O 5481.1B Change 001  "ARCHIVED"	<u>Safety Analysis and Review System</u>  <u>(Cancelled on 9/29/95 by DOE N251.4)</u>	09-23-86	<u>Retained as project requirement necessary for safety.</u>  <u>Applies to contractor.</u>	<u>Based on the crosswalk conducted pursuant to Acquisition Letter AL 95-12, this Order has been retained as a YMP safety requirement.</u>
DOE O 5482.1B	ES&H Appraisal Program	Unknown	<del>Retained as project requirement</del> <del>necessary for safety.</del> <del>Applies to contractor.</del>	<del>Based on the crosswalk conducted pursuant to Acquisition Letter AL 95-12, this Order has been retained as a YMP safety requirement.</del>
DOE O 5482.1B Change 001	ES&H Appraisal Program	11-18-91	<u>Retained as project requirement necessary for</u>	<u>Based on the crosswalk conducted pursuant to Acquisition Letter AL 95-12, this Order has</u>

<u>"ARCHIVED"</u>	<u>(Cancelled on 12/5/96 by DOE N251.21)</u>		<u>safety.</u>  <u>Applies to contractor.</u>	<u>been retained as a YMP safety requirement.</u>
<u>DOE O-5484.1 Through Change 007</u>	<u>Environmental Protection, Safety, and Health Protection Reporting Requirements (Portions Apply)</u>	<u>02-24-82 10-17-90</u>	<u>Applies to current OCRWM mission.</u>  <u>No exclusion for NRC-regulated</u>  <u>_____ facilities.</u> <u>No CRD, but applies to contractor.</u>	<u>DOE Order 225.2 cancels Paragraphs 1 through 5, 6a(1) through 6a(10), 6f(1) through 6f(8), the second (misnumbered) 6f, Chapter I, and Chapter II of this Order. DOE Order 231.1 cancels Chapter II, Paragraph 1E, and Chapters III, IV and V of this Order. Crosswalk of these requirements has been completed.</u>
<u>DOE O 5484.1 Change 007</u>	<u>Environmental Protection, Safety, and Health Protection Information Reporting Requirements (Portions Apply)</u>	<u>02-24-82 10-17-90</u>	<u>Applies to current OCRWM mission.</u>  <u>No exclusion for NRC-regulated facilities.</u>  <u>No CRD, but applies to contractor.</u>	<u>DOE Order 225.2 cancels Paragraphs 1 through 5, 6a(1) through 6a(10), 6f(1) through 6f(8), the second (misnumbered) 6f, Chapter I, and Chapter II of this Order. DOE Order 231.1 cancels Chapter II, Paragraph 1E, and Chapters III, IV and V of this Order. Crosswalk of these requirements has been completed.</u>
<u>DOE O-5530.1A</u>	<u>Accident Response Group</u>	<u>09-20-94</u>	<u>Not applicable to current OCRWM mission, but OCRWM involvement</u>  <u>_____ may be requested.</u> <u>No exclusion for NRC-regulated</u>  <u>_____ facilities.</u> <u>Applicable to contractor upon</u>  <u>_____ specific request.</u>	
<u>DOE O 5530.1A</u>	<u>Accident Response Group</u>	<u>09-20-91</u>	<u>Not applicable to current OCRWM mission, but</u>  <u>OCRWM involvement may be requested.</u>  <u>No exclusion for NRC-regulated facilities.</u>	

			<u>Applicable to contractor upon specific request.</u>	
<del>DOE O 5530.2</del>	<del>Nuclear Emergency Search Team</del>	<del>09-20-91</del>	<del>Not applicable to current OCRWM mission, but OCRWM involvement</del>  <del>may be requested.</del> <del>No exclusion for NRC-regulated</del>  <del>facilities.</del> <del>Applicable to contractor upon</del>  <del>specific request.</del>	
<u>DOE O 5530.2</u>	<u>Nuclear Emergency Search Team</u>	<u>09-20-91</u>	<u>Not applicable to current OCRWM mission, but</u>  <u>OCRWM involvement may be requested.</u>  <u>No exclusion for NRC-regulated facilities.</u>  <u>Applicable to contractor upon specific request.</u>	
<del>DOE O 5530.3 Change 001</del>	<del>Radiological Assistance Program</del>	<del>01-14-92 04-10-92</del>	<del>Not applicable to current OCRWM mission, but OCRWM involvement</del>  <del>may be requested.</del> <del>No exclusion for NRC-regulated</del>  <del>facilities.</del> <del>Applicable to contractor upon</del>  <del>specific request.</del>	
<u>DOE O 5530.3 Change 001</u>	<u>Radiological Assistance Program</u>	<u>01-14-92 04-10-92</u>	<u>Not applicable to current OCRWM mission, but</u>  <u>OCRWM involvement may be requested.</u>	

			<u>No exclusion for NRC-regulated facilities.</u>  <u>Applicable to contractor upon specific request.</u>	
<del>DOE O 5530.4</del>	<del>Aerial Measuring System</del>	<del>09-20-91</del>	<del>Not applicable to current OCRWM mission, but OCRWM involvement</del>  <del>_____ may be requested.</del> <del>No exclusion for NRC-regulated</del>  <del>_____ facilities.</del> <del>Applicable to contractor upon</del>  <del>_____ specific request.</del>	
<u>DOE O 5530.4</u>	<u>Aerial Measuring System</u>	<u>09-20-91</u>	<u>Not applicable to current OCRWM mission, but</u>  <u>OCRWM involvement may be requested.</u>  <u>No exclusion for NRC-regulated facilities.</u>  <u>Applicable to contractor upon specific request.</u>	
<del>DOE O 5530.5 Change 001</del>	<del>Federal Radiological Monitoring Assessment Center</del>	<del>07-10-92 12-02-92</del>	<del>Not applicable to current OCRWM</del>  <del>_____ mission, but OCRWM</del> <del>_____ involvement may be requested.</del> <del>No exclusion for NRC-regulated</del>  <del>_____ facilities.</del>  <del>Applicable to contractor upon</del>  <del>_____ specific request.</del>	
<u>DOE O 5530.5 Change 001</u>	<u>Federal Radiological Monitoring Assessment Center</u>	<u>07-10-92 12-02-92</u>	<u>Not applicable to current OCRWM mission, but</u>  	

			<u>OCRWM involvement may be requested.</u>  <u>No exclusion for NRC-regulated facilities.</u>  <u>Applicable to contractor upon specific request.</u>	
<del>DOE O 5631.2C</del>	<del>Personnel Security Program (Portions Apply)</del>	<del>Unknown</del>	<del>Applies to current OCRWM mission.</del> <del>Exempts NRC-licensed activities</del> <del>_____ and OCRWM waste shipments.</del> <del>No CRD, but applies to contractor.</del>	<del>DOE Order 472.1B cancels Chapters I-IX of this Order.</del>
<u>DOE O 5631.2C</u>	<u>Personnel Security Program (Portions Apply)</u>	<u>09-15-92</u>	<u>Applies to current OCRWM mission.</u>  <u>Exempts NRC-licensed activities and OCRWM waste shipments.</u>  <u>No CRD, but applies to contractor.</u>	<u>DOE Order 472.1B cancels Chapters I-IX of this Order.</u>  <u>(Supposedly cancelled through DOE O 472.1B.)</u>
<del>DOE O 5632.1C</del>	<del>Protection and Control of Safeguards and Security Interests</del>	<del>07-15-94</del>	<del>Applies to current OCRWM mission.</del> <del>Exempts NRC-licensed activities</del> <del>_____ and OCRWM waste shipments.</del> <del>No CRD, but applies to contractor.</del>  <del><i>Note: Not all OCRWM activities will be NRC regulated; dual regulation will occur.</i></del>	
<u>DOE O 5632.1C</u>	<u>Protection and Control of Safeguards and Security Interests</u>	<u>07-15-94</u>	<u>Applies to current OCRWM mission.</u>  <u>Exempts NRC-licensed activities and OCRWM waste shipments.</u>  <u>No CRD, but applies to contractor.</u>  <u><i>Note: Not all OCRWM activities will be NRC</i></u>	

			<u>regulated; dual regulation will occur.</u>	
<u>DOE O 5670.3</u>	<u>Counterintelligence Program</u>	<u>09-04-92</u>	<del>Applies to current OCRWM mission.</del>  <del>No exclusion for NRC-regulated</del>  <del>—— facilities.</del> <del>No CRD, but applies to contractor.</del>	
<u>DOE O 5670.3</u>	<u>Counterintelligence Program</u>	<u>09-04-92</u>	<u>Applies to current OCRWM mission.</u>  <u>No exclusion for NRC-regulated facilities.</u>  <u>No CRD, but applies to contractor.</u>	
<u>DOE O 5700.7C</u>	<u>Work Authorization System</u>	<u>05-18-92</u>	<del>Applies to current OCRWM mission.</del>  <del>No exclusion for NRC-regulated</del>  <del>—— facilities.</del> <del>No CRD, but applies to contractor.</del>	
<u>DOE O 5700.7C</u>  <u>“ARCHIVED”</u>	<u>Work Authorization System</u>	<u>05-18-92</u>	<u>Applies to current OCRWM mission.</u>  <u>No exclusion for NRC-regulated facilities.</u>  <u>No CRD, but applies to contractor.</u>	
<u>DOE O 5820.2A</u>	<u>Radioactive Waste Management</u>	<u>09-26-88</u>	<del>Applies to current OCRWM mission.</del>  <del>OCRWM management of HLW</del>  <del>—— excluded.</del> <del>No CRD, but applies to contractor.</del>	
<u>DOE O 5820.2A</u>	<u>Radioactive Waste Management</u>	<u>09-26-88</u>		

<u>"ARCHIVED"</u>			<u>Applies to current OCRWM mission.</u>	
			<u>OCRWM management of HLW excluded.</u>	
			<u>No CRD, but applies to contractor.</u>	

MANUALS				
<del>DOE M 200.1-1</del>	<del>Telecommunication Security Manual</del>	<del>03-97</del>		
<u>DOE M 200.1-1</u>	<u>Telecommunication Security Manual</u>	<u>03-01-97</u>		
<del>DOE M 231.1-1 Change 001</del>	<del>Environment, Safety, and Health Reporting Manual</del>	<del>09-30-95</del>		<del>Compliance with this Manual is mandatory.</del>
<u>DOE M 231.1-1 Change 001 Change 002</u>	<u>Environment, Safety, and Health Reporting Manual</u>	<u>09-30-95 11-07-96 01-28-00</u>		<u>Compliance with this Manual is mandatory.</u>
DOE M 232.1-1A	Occurrence Reporting and Processing of Operations Information	07-21-97		Compliance with this Manual is mandatory.
DOE M 251.1-1A	Directives System Manual	01-30-98		
DOE M 440.1-1	DOE Explosives Safety Manual	09-30-95		
DOE M 450.3-1	The Department of Energy Closure Process for Necessary and Sufficient Sets of Standards	01-25-96		
<del>DOE M 471.2-1A</del>	<del>Manual for Classified Matter Protection and Control</del>	<del>01-09-98</del>		
<u>DOE M 471.2-1B</u>	<u>Classified Matter Protection and Control Manual</u>	<u>01-06-99</u>		
<del>DOE M 472.1</del>	<del>Personnel Security Program Manual</del>	<del>05-22-98</del>		
<u>DOE M 472.1-1</u>	<u>Personnel Security Program Manual</u>	<u>05-22-98</u>		
<del>DOE M 474.1-2 Change 001 Change 002</del>	<del>Nuclear Materials Management and Safeguards System Reporting and Data Submission</del>	<del>02-10-98 04-27-98 11-16-98</del>	<del>Applies to current OCRWM mission.  No exclusion for NRC-regulated  facilities. Applies to contractor.</del>	
<u>DOE M 474.1-2 Change 001 Change 002</u>	<u>Nuclear Materials Management and Safeguards System Reporting and Data Submission</u>	<u>02-10-98 04-27-98 11-16-98</u>	<u>Applies to current OCRWM mission.</u>	

			<u>No exclusion for NRC-regulated facilities.</u>	
			<u>Applies to contractor.</u>	
<del>DOE M 475.1-1</del>	<del>Identifying Classified Information</del>	<del>05-08-98</del>	<del>Applies to current OCRWM mission.</del>	<del>Supports DOE Order 200.1.</del>
			<del>No exclusion for NRC-regulated</del>	
			<del>facilities.</del>	
			<del>Applies to contractor.</del>	
<u>DOE M 475.1-1</u>	<u>Identifying Classified Information</u>	<u>05-08-98</u>	<u>Applies to current OCRWM mission.</u>	<u>Supports DOE Order 200.1.</u>
			<u>No exclusion for NRC-regulated facilities.</u>	
			<u>Applies to contractor.</u>	
DOE M 481.1-1	Reimbursable Work for Nonfederal Sponsors Process Manual	09-30-96		
<del>DOE M 551.1-1</del>	<del>International Trips</del>	<del>07-31-96</del>		
<del>DOE M 1331.1D</del>	<del>Procurement and Assistance Data System</del>	<del>Unknown</del>		<del>See note for DOE Order 200.1.</del>
<u>DOE M 55.1-1</u>	<u>International Trips</u>	<u>07-31-96</u>		
"ARCHIVED"				
<del>DOE M 1340.1B</del>	<del>Management of Public Communication Publications and Scientific, Technical, and Engineering Publications</del>	<del>Unknown</del>		<del>See note for DOE Order 200.1.</del>
<u>DOE M 1331.1D</u>	<u>Procurement and Assistance Data System</u>	<u>Unknown</u>	<u>(Cancelled by DOE N 251.26)</u>	<u>See note for DOE Order 200.1.</u>
<u>DOE M 1340.1B</u>	<u>Management of Public Communication Publications and Scientific, Technical, and Engineering Publications</u>	<u>01-07-93</u>		<u>See note for DOE Order 200.1.</u>
<del>DOE M 1350.1</del>	<del>Audiovisual and Exhibits Management</del>	<del>Unknown</del>		<del>See note for DOE Order 200.1.</del>
<u>DOE M 1350.1</u>	<u>Audiovisual and Exhibits Management</u>	<u>03-26-84</u>		<u>See note for DOE Order 200.1.</u>
<del>DOE M 1360.2B</del>	<del>Unclassified Computer Security Program</del>	<del>Unknown</del>		<del>See note for DOE Order 200.1.</del>

<u>DOE M 1360.2B</u> "ARCHIVED"	<u>Unclassified Computer Security Program</u>	<u>05-18-92</u>		<u>See note for DOE Order 200.1.</u>
<u>DOE M 1450.4</u>	<u>Consensual Listening-In or Recording Telephone/Radio Conversations</u>	<u>Unknown</u>		<u>See note for DOE Order 200.1.</u>
<u>DOE M 1450.4</u>	<u>Consensual Listening-In or Recording Telephone/Radio Conversations</u>	<u>11-12-92</u>		<u>See note for DOE Order 200.1.</u>
<u>DOE M 5300.2D</u>	<u>Telecommunications: Emission Security (Tempest)</u>	<u>Unknown</u>		<u>See note for DOE Order 200.1.</u>
<u>DOE M 5300.2D</u>	<u>Telecommunications: Emission Security (Tempest)</u>	<u>05-18-92</u>	<u>(Cancelled by DOE N 251.28)</u>	<u>See note for DOE Order 200.1.</u>
<u>DOE M 5300.3D</u>	<u>Telecommunications: Communications Security</u>	<u>Unknown</u>		<u>See note for DOE Order 200.1.</u>
<u>DOE M 5300.3D</u>	<u>Telecommunications: Communications Security</u>	<u>Unknown</u>	<u>(Cancelled by DOE N 251.28)</u>	<u>See note for DOE Order 200.1.</u>
<u>DOE M 5300.4D</u>	<u>Telecommunications: Protected Distribution Systems</u>	<u>Unknown</u>		<u>See note for DOE Order 200.1.</u>
<u>DOE M 5300.4D</u>	<u>Telecommunications: Protected Distribution Systems</u>	<u>Unknown</u>	<u>(Cancelled by DOE N 251.28)</u>	<u>See note for DOE Order 200.1.</u>
<u>DOE M 5632.1C-1 Change 001</u>	<u>Manual for Protection and Control of Safeguards and Security Interests</u>	<u>97-15-94</u> <u>04-10-96</u>		<u>This Order supplements DOE Order 5632.1C. DOE Order 470.1 cancels Chapter XI. DOE Order 471.2 cancels Chapter III, Paragraphs 1, 2, and 4 through 9.</u>
<u>DOE M 5650.2B</u>	<u>Identification of Classified Information</u>	<u>Unknown</u>		<u>See note for DOE Order 200.1.</u>
<u>DOE M 5632.1C-1 Change 001</u>	<u>Manual for Protection and Control of Safeguards and Security Interests</u>	<u>97-15-94</u> <u>04-10-96</u>		<u>This Order supplements DOE Order 5632.1C. DOE Order 470.1 cancels Chapter XI. DOE Order 471.2 cancels Chapter III, Paragraphs 1, 2, and 4 through 9. All or parts of Chapter IX, III and XI have been canceled.</u>
<u>DOE M 5650.2B</u>	<u>Identification of Classified Information</u>	<u>04-28-93</u>		<u>See note for DOE Order 200.1.</u>
<b>NOTICES</b>				
<u>DOE N 110.3</u>	<u>Conference Management</u>	<u>03-22-99</u>	<u>Applies to contractor</u>	
<u>DOE N 110.3</u> "ARCHIVED"	<u>Conference Management</u>	<u>03-22-99</u>	<u>Applies to contractor</u>	

<del>DOE N 414.1</del>	<del>Availability of DOE G 414.1-1</del>	<del>Unknown</del>		
<del>DOE N 414.1 "ARCHIVED"</del>	<del>Availability of DOE G 414.1-1</del>	<del>10-11-96</del>		
DOE N 440.1	Interim Chronic Beryllium Disease Prevention Program	07-15-97		
<del>DOE N 441.1</del>	<del>Radiological Protection for DOE Activities</del>	<del>09-30-95</del>		<del>This is an important Notice that retains necessary radiation protection requirements not codified in 10 CFR 835.</del>
<del>DOE N 441.1</del>	<del>Radiological Protection for DOE Activities</del>	<del>09-19-96</del>		<del>This is an important Notice that retains necessary radiation protection requirements not codified in 10 CFR 835.</del>
<del>DOE N 441.4</del>	<del>Extension of DOE N 441.1, Radiological Protection for DOE Activities</del>	<del>11-20-98</del>		<del>See note for DOE N 441.1.</del>
<del>DOE N 441.4</del>	<del>Extension of DOE N 441.1, Radiological Protection for DOE Activities</del>	<del>11-20-98</del>		<del>See note for DOE N 441.1. (Extends 441.1 until 06-30-00.)</del>
<b>GUIDES</b>				
DOE G 120.1-5	Guidelines for Performance Measurement	06-30-96	Applies to current OCRWM mission.  No exemption for NRC-licensed facilities.  No CRD, but applies to contractor	
<del>DOE G 225.1A-1</del>	<del>Implementation Guide for Use With DOE O 225.1A, Accident Investigations</del>	<del>11-26-97</del>		
<del>DOE G 151.1</del>	<del>Emergency Management Guide</del>	<del>08-21-97</del>		<del>Now split into 20 DOE Guides.</del>
<del>DOE G 200.1-1</del>	<del>Software Engineering Methodology</del>	<del>05-21-97</del>		<del>Split into 17 parts.</del>
<del>DOE G 225.1A-1</del>	<del>Implementation Guide for Use With DOE O 225.1A, Accident Investigations</del>	<del>11-26-97</del>		
DOE G 241.1-1	Guide to the Management of Scientific and Technical Information	08-17-98		
<del>DOE G 414.1-1</del>	<del>Implementation Guide for Use With the Independent and Management Assessment Requirements of</del>	<del>10-11-96</del>		

	10 CFR 830.120 and DOE 5700.6C, Quality Assurance			
<a href="#">DOE G 414.1-1</a>	<a href="#">Implementation Guide for Use With the Independent and Management Assessment Requirements of 10 CFR 830.120 and DOE 5700.6C, Quality Assurance</a>	<a href="#">08-01-96</a>		
DOE G 426.1-1	Recruiting, Hiring, and Retaining High-Quality Technical Staff	12-10-98		
<a href="#">DOE G 430.1-1</a>	<a href="#">Cost Estimating Guide</a>	<a href="#">03-28-97</a>		
<a href="#">DOE G 430.1-1</a>	<a href="#">Cost Estimating Guide</a>	<a href="#">03-28-97</a>		<a href="#">Split into 29 documents.</a>
DOE G 440.1-1	Worker Protection Management for DOE Federal and Contractor Employees Guide for Use With DOE O 440.1	07-10-97		
DOE G 440.1-2	Construction Safety Management Guide for Use With DOE O 440.1	06-26-97		
DOE G 440.1-3	Occupational Exposure Assessment	03-30-98		
<a href="#">DOE G 440.1-4</a>	<a href="#">Contractor Occupational Medical Program Guide for Use With DOE O 440.1</a>	<a href="#">06-30-97</a>		
<a href="#">DOE G 440.1-4</a>	<a href="#">Contractor Occupational Medical Program Guide for Use With DOE O 440.1</a>	<a href="#">06-26-97</a>		
<a href="#">DOE G 440.1-5</a>	<a href="#">Implementation Guide for Fire Safety Program</a>	<a href="#">09-30-97</a>		
<a href="#">DOE G 440.1-5</a>	<a href="#">Implementation Guide for Fire Safety Program</a>	<a href="#">09-30-95</a>		
DOE G 440.1-6	Implementation Guide for Use With Suspect/Counterfeit Items Requirements of DOE O 440.1, Worker Protection Management; 10 CFR 830.120; and DOE 5700.6C, Quality Assurance	06-30-97		
DOE G 440.1-7	Implementation Guide for Use With DOE N 440.1, Interim Chronic Beryllium Disease Prevention Program	03-30-98		
<a href="#">DOE G 450.3-1</a>	<a href="#">Documentation for Work Smart Standards Application, Characteristics, and Considerations</a>	<a href="#">02-97</a>		
<a href="#">DOE G 450.3-1</a>	<a href="#">Documentation for Work Smart Standards Application: Characteristics</a>	<a href="#">02-01-97</a>		

	and Considerations			
<a href="#">DOE G 450.3-2</a>	<a href="#">Attributes of Effective Implementation</a>	<a href="#">02-97</a>		
<a href="#">DOE G 450.3-2</a>	<a href="#">Attributes of Effective Implementation</a>	<a href="#">02-01-97</a>		
<a href="#">DOE G 450.3-3</a>	<a href="#">Tailoring for Integrated Safety Management Applications</a>	<a href="#">02-97</a>		
<a href="#">DOE G 450.3-3</a>	<a href="#">Tailoring for Integrated Safety Management Applications</a>	<a href="#">02-01-97</a>		
<a href="#">DOE G 450.4-1</a>	<a href="#">Integrated Safety Management System Guide</a>	<a href="#">11-26-97</a>		
<a href="#">DOE G 450.4-1</a> <a href="#">Vol. 1, Vol. 2</a>	<a href="#">Integrated Safety Management System Guide</a>	<a href="#">05-27-99</a>		
<a href="#">DOE G 460.1-1</a>	<a href="#">Implementation Guide for Use With DOE O 460.1A, Packaging and Transportation Safety</a>	<a href="#">06-05-97</a>		
<a href="#">DOE G 460.2-1</a>	<a href="#">Implementation Guide for Use With DOE O 460.2, Departmental Materials Transportation and Packaging Management</a>	<a href="#">11-15-96</a>		
<a href="#">DOE G 460.2-1</a>	<a href="#">Implementation Guide for Use With DOE O 460.2, Departmental Materials Transportation and Packaging Management</a>	<a href="#">11-15-97</a>		
<a href="#">DOE G 481.1-1</a>	<a href="#">Department of Energy Work for Others Guide</a>	<a href="#">09-24-97</a>		
<a href="#">DOE G 1130.8A</a>	<a href="#">Data Integrity Board</a>	<a href="#">Unknown</a>		<a href="#">See note for DOE Order 200.1.</a>
<a href="#">DOE G 1130.8A</a>	<a href="#">Data Integrity Board</a>	<a href="#">05-18-92</a>		<a href="#">See note for DOE Order 200.1. (Cancelled by DOE N 251.17)</a>
<a href="#">DOE G 1324.5B</a>	<a href="#">Records Management Program</a>	<a href="#">Unknown</a>		<a href="#">See note for DOE Order 200.1.</a>
<a href="#">DOE G 1324.5B</a>	<a href="#">Implementation Guide for Use with 36 CFR Chapter XII - Subchapter B: Records Management</a>	<a href="#">07-19-96</a>		<a href="#">See note for DOE Order 200.1.</a>
<a href="#">DOE G 1330.1D</a>	<a href="#">Computer Software Management</a>	<a href="#">Unknown</a>		<a href="#">See note for DOE Order 200.1.</a>
<a href="#">DOE G 1330.1D</a>	<a href="#">Computer Software Management</a>	<a href="#">05-18-92</a>		<a href="#">See note for DOE Order 200.1.</a>
<a href="#">DOE G 1331.1D</a>	<a href="#">Procurement and Assistance Data System</a>	<a href="#">Unknown</a>		<a href="#">See note for DOE Order 200.1.</a>
<a href="#">DOE G 1331.1D</a>	<a href="#">Procurement and Assistance Data System</a>	<a href="#">05-18-92</a>		<a href="#">See note for DOE Order 200.1.</a>
<a href="#">DOE G 1410.2</a>	<a href="#">Mail Management</a>	<a href="#">Unknown</a>		<a href="#">See note for DOE Order 200.1.</a>
<a href="#">DOE G 1410.2</a>	<a href="#">Mail Management</a>	<a href="#">04-29-85</a>		<a href="#">See note for DOE Order 200.1.</a>

DOE-G-1450.3A	Call Control/Verification Programs and Authorized Use of Government Telephone Systems	Unknown		See note for DOE Order 200.1.
<u>DOE G 1450.3A</u>	<u>Call Control/Verification Programs and Authorized Use of Government Telephone Systems</u>	<u>09-12-91</u>		<u>See note for DOE Order 200.1.</u>
DOE-G-1700.1	Freedom of Information Program	Unknown		See note for DOE Order 200.1.
<u>DOE G 1700.1</u>	<u>Freedom of Information Program</u>	<u>11-19-74</u>		<u>See note for DOE Order 200.1.</u>
DOE-G-1800.1A	Privacy Act	Unknown		See note for DOE Order 200.1.
<u>DOE G 1800.1A</u>	<u>Privacy Act</u>	<u>08-31-84</u>		<u>See note for DOE Order 200.1.</u>
DOE G 1324.5B	Implementation Guide for Records Management	09-19-96		See note on DOE Order 200.1
	DOE Accounting Practices and Procedures Handbook	06-30-80		
DOE-G-5300.1C	Telecommunications	Unknown		See note for DOE Order 200.1.
<u>DOE G 5300.1C</u>	<u>Telecommunications</u>	<u>06-12-92</u>		<u>See note for DOE Order 200.1.</u>
<b>SECRETARY OF ENERGY NOTICES</b>				
SEN-35-91	Nuclear Safety Policy	09-09-91	<del>Applies to current OCRWM mission.</del>  <del>No exclusion for NRC-regulated</del>  <del>_____ facilities.</del> <del>No CRD, but applies to contractor.</del>	
<u>SEN-35-91</u>	<u>Nuclear Safety Policy</u>	<u>09-09-91</u>	<u>Applies to current OCRWM mission.</u>  <u>No exclusion for NRC-regulated facilities.</u>  <u>No CRD, but applies to contractor.</u>	
10 CFR 820	Procedural Rules for DOE Nuclear Activities	Unknown		
10 CFR 835	Occupational Radiation Protection	Unknown	Applies to current OCRWM mission.  NRC-licensed facilities are excluded.	

			Applies to contractor.	
10 CFR 960	General Guidelines for the Recommendation of Sites for Nuclear Waste Repositories	Unknown	Applies to current OCRWM mission.  Applies to contractor.	
10 CFR 961	Standard Contract for Disposal of Spent Nuclear Fuel and/or High-Level Radioactive Waste	Unknown	Applies to current OCRWM mission.  Applies to contractor.	
48 CFR, Chapter 9	DOE Acquisition Regulations	Unknown	Applies to current OCRWM mission.  Applies to contractor.	

~~CRD = Contractor Requirements Document~~  
~~CRWMS = Civilian Radioactive Waste Management System~~  
~~DOE = Department of Energy~~  
~~ES&H = Environment, Safety, and Health~~  
~~FRAM = Functions, Responsibilities, and Authorities Manual~~  
~~HLW = High-Level Waste~~  
~~M&O = Management and Operating Contractor~~  
~~N/A = Not Applicable~~

~~NRC = Nuclear Regulatory Commission~~  
~~OCRWM = Office of Civilian Radioactive Waste Management~~  
~~OIA = Office of Institutional Affairs~~  
~~OLRC = Office of Licensing and Regulatory Compliance~~  
~~OPC = Office of Project Control~~  
~~OPE = Office of Project Execution~~  
~~OPM = Office of Project Management~~  
~~OPMA = Office of Program Management and Administration~~

~~OPS = Office of Project Support~~  
~~ORPS = Occurrence Reporting Processing System~~  
~~P.L. = Public Law~~  
~~QARD = Quality Assurance Requirements Document~~  
~~RCRA = Resource Conservation and Recovery Act~~  
~~SASM = Special Assistant Safety Manager~~  
~~YMP = Yucca Mountain Site Characterization Project~~  
~~YMSCO = Yucca Mountain Site Characterization Office~~

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1. Except where indicated, manuals support Orders or policies with the same number; responsibilities and applicabilities for manuals parallel those for the corresponding Orders. Occasionally, manuals do not relate to specific Orders. For these cases, responsibilities and applicabilities are indicated. Some manuals are mandatory; see the corresponding Order or manual for details.
2. Except where indicated, responsibilities for notices parallel those for Orders or policies of the same number.
3. Except where indicated, guides support Orders with the same number. Responsibilities for guides parallel those for the corresponding Orders. Occasionally, guides do not relate to specific Orders.

**PART III - LIST OF DOCUMENTS, EXHIBITS, AND OTHER ATTACHMENTS**  
**SECTION J – LIST OF ATTACHMENTS**

**APPENDIX F – SENSITIVE FOREIGN NATIONS CONTROL**

In accordance with the contract clause in Section I entitled "Sensitive Foreign Nations Controls," this Attachment sets forth the requirements the contractor must comply with during the performance of work under this contract.

1. Definition of Sensitive Country

Sensitive Country (Reference DOE ~~Order No. 1240.2B~~) Notice No. 142.1, Unclassified Foreign Visits and Assignments)

For purposes of this contract, a "sensitive country" shall be one of the countries listed ~~below:~~ in DOE Notice 142.1.

<del>Algeria</del>	<del>North Korea (Democratic</del>
<del>Armenia</del>	<del>People's Republic of)</del>
<del>Azerbaijan</del>	<del>Kyrgyzstan</del>
<del>Belarus</del>	<del>Libya</del>
<del>China (People's Republic of</del>	<del>Moldavia</del>
<del>China)</del>	<del>Pakistan</del>
<del>Cuba</del>	<del>Russia</del>
<del>Georgia</del>	<del>Sudan</del>
<del>India</del>	<del>Syria</del>
<del>Iran</del>	<del>Taiwan (Republic of China)</del>
<del>Iraq</del>	<del>Tajikistan</del>
<del>Israel</del>	<del>Turkmenistan</del>
<del>Kazakhstan</del>	<del>Ukraine</del>
	<del>Uzbekistan</del>

2. Definitions

a. Foreign National A foreign national is any person who is not a U.S. national or is a stateless person.

(1) Immigrant Alien A foreign national authorized by the Immigration and Naturalization Service to reside and work in the U.S. for an indefinite period and who is eligible to become, in time, a U.S. citizen. Most immigrant aliens may also be referred to as Permanent Resident Aliens (PRA's).

(2) Stateless Person One who currently is without nationality (a) by the action of a state in withdrawing the protection of nationality, (b) by his or her own action in effectively renouncing the nationality previously held, or (c)

because he or she has never held nationality due to the circumstances of birth.

- b. Exchange Visitor Program A program sponsored by the United States Information Agency. The program provides for joint projects, research assignments, or specialized training at Department of Energy (DOE) facilities by foreign nationals selected by officials of their country, or by DOE to promote the general interest of international exchange.
- c. Foreign National Visits Foreign nationals sponsored for visits or assignments may include, among others:
  - (1) Officials or other persons employed by foreign governments or other foreign institutions, who may or may not be involved in cooperation under international agreements;
  - (2) Foreign students at U.S. institutions;
  - (3) Employees of DOE or other U.S. Government agencies or their contractors, of universities, of companies (professional or service staff), or of other institutional; and
  - (4) Prospective employees of DOE or DOE contractors.
- d. High Level or Protocol Visits This connotes the visit of a foreign national who is afforded special consideration for policy reasons.
- e. Indices Check A procedure whereby a request is made to appropriate U.S. Government agencies to determine if information exists on a particular foreign national.
- f. Security Facility A specific physically bounded area, individually certified by the cognizant security officer in accordance with DOE Order ~~5632.1A~~, 5632.1C, which has been approved by DOE for generating, receiving, using, processing, storing, reproducing, transmitting, destroying, or handling special nuclear material or classified matter. A security facility temporarily sanitized to protect a security interest during a visit or assignment continues to be a security facility for the purpose of DOE ~~Order 1240.2B~~, Notice 142.1.
- g. Sensitive Facility A designated DOE facility listed in DOE ~~Order 1240.2B~~ Notice 142.1 which contains one or more security facilities and/or nonproliferation information, technology or other sensitive subjects.
- h. Sensitive Subjects Unclassified subject/topic identified by DOE which involves information, activities, and/or technologies that are relevant to national security. Disclosure of sensitive subjects has the potential for enhancing nuclear weapons

capability, leading to nuclear proliferation, divulging militarily critical technologies, or revealing other advanced technologies. Therefore, they require special management oversight, especially prior to release to foreign nationals. Some sensitive subjects are already controlled as Unclassified Controlled Nuclear Information or as Export Controlled Information under U.S. laws and regulations.

- i. Security Plan A plan developed and implemented to protect DOE and DOE contractor personnel and facilities, and to prevent the compromise of a DOE security interest or sensitive subject to a foreign visitor or assignee; this is a critical element of the visits and assignments system.
- j. Sensitive Country A country to which particular consideration is given for policy reasons during the DOE internal review and approval process of visits and assignments by foreign nationals. Countries may appear on this list for reasons of national security, nuclear nonproliferation, regional instability, or terrorism support. The DOE list does not necessarily reflect the policies or views of any other agency of the U.S. Government. For purposes of DOE ~~Order 1240.2B~~, Notice 142.1, a foreign national is considered to be from a sensitive country, if any of the following is true:
  - (1) Citizen of a sensitive country;
  - (2) Place of employment in a sensitive country;
  - (3) Place of birth in a sensitive country unless the person is now a U.S. citizen (or in accordance with other DOE guidance);
  - (4) A stateless person.
- k. Host A DOE or DOE contractor or employee who is sponsoring a visitor or assignee under DOE ~~Order 1240.2B~~, Notice 142.1. A visitor or assignee is not permitted to be a host.
- l. Visit Presence of an invited foreign national at a DOE facility or at a meeting or other interaction in the U.S. sponsored by a DOE facility for seven calendar days or less, or if in accordance with an international agreement of 21 calendar days or less. Visits are normally for the purpose of technical discussions, orientation tours, observation of projects or equipment, contract service work, or discussion of collaboration on topics of mutual interest without participation in the work of the facility, or for courtesy purposes.
- m. Assignment Presence of an invited foreign national at a DOE facility, or at a meeting or other interaction in the U.S. sponsored by a DOE facility, for more than seven calendar days in the absence of an international agreement, or for the number of days specified in an international agreement. Assignments are limited to two years duration (one year for sensitive cases), subject to extension in

accordance with DOE ~~Order 1240.2B~~ Notice 142.1. Assignments are normally for the purpose of participating in the work of the facility, gaining experience, or contributing to projects. Assignees may include employees, guests, or consultants.

3. Prior Approvals Relating to Foreign Nationals

- a. Foreign visits and assignments pertaining to DOE programs must be in accordance with DOE ~~Order 1240.2B~~ Notice 142.1 and other DOE policies furnished in writing to the contractor.
- b. Prior DOE approval shall be obtained for travel to the Sensitive Countries by a contractor employee, while employee's salary is directly charged to contract funds, or by any person whose travel is to be reimbursed from the contract fund.

4. Reports Relating to Foreign Visits and Assignments

- a. Host Report Requirements To enable the approving official to evaluate the effectiveness of visits and assignments, and to assist in determining the desirability of future such visits and assignments, host reports are required for those visits and assignments for which approval authority has not been designated. The host report will be submitted to DOE in accordance with DOE ~~Order 1240.2B~~ Notice 142.1.
- b. On a request of the Contracting Officer, the Contractor shall furnish a semiannual report to DOE/NV on total foreign national employment.

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**APPENDIX G – PERFORMANCE GUARANTEE AGREEMENT**

The selected Offeror’s Performance Guarantee Agreement will be placed here in the contract. The Performance Guarantee Agreement is required by the Section H ~~clause entitled~~Contract Clause, entitled, “Performance Guarantee.” Instructions for preparation of the agreement are found in Section L Attachment G.

## **PART III - LIST OF DOCUMENTS, EXHIBITS, AND OTHER ATTACHMENTS**

### **SECTION J – LIST OF ATTACHMENTS**

#### **APPENDIX H – GUIDANCE FOR PREPARATION OF DIVERSITY PLAN**

(See Section I, Contract Clause ~~entitled~~DEAR 970.5204-81, entitled, "Diversity Plan")

This Guidance is to assist the Contractor in understanding the information being sought by the Department for each of the Diversity elements and where these issues are already addressed in a Contract, the Contractor need only cross reference the location.

#### **Work Force**

This Contract includes clauses on Equal Opportunity and Affirmative Action. The Contractor should discuss its policies and plans for implementation of these clauses in its operations. If the Contractor already has procedures in place, these should be discussed and copies provided.

#### **Educational Outreach**

The Contractor should outline or discuss any programs already provided, or which it intends to provide, which will provide employees an opportunity to improve their employment skills and opportunities. These programs may already be discussed in the proposal submitted for this Contract or in the Contract itself and could include: educational assistance allowance, provision for outside training programs either during or outside regular work hours, and executive training programs for ~~non-executive~~non-executive employees. The Contractor should also discuss any plans to participate in any program supporting Historically Black Colleges and Universities, Hispanic~~Serving~~ Institutions and Native American Institutions.

#### **Community Involvement and Outreach**

~~An offeror's proposal or this Contract may include a section dealing with community involvement and outreach activities. In that event, those sections may be cross referenced and do not need to be repeated.~~ Contractor community relations activities could include support for the following activities: support for science, mathematics and engineering education; support for community service organizations; assistance to Governmental and community service organizations and for equal opportunity activities; and community assistance in connection with work force reduction plans. The Contractor may provide support to these activities through direct sponsorship or making individual employees available to work with the specific community activity. The Contractor's Diversity Plan should discuss the Contractor's existing and planned activities promoting community involvement of its employees as well as the corporation.

## **Subcontracting**

If appropriate to the Contractor, the Contract will contain FAR ~~52.219-9 "Small, Small Disadvantaged, and Woman-Owned Small~~52.219-9 "Small Business Subcontracting Plan" and other small ~~business-related~~business-related clauses. Additionally, the RFP may have contained additional guidance on small business subcontracting. The Contractor should briefly summarize its subcontracting plan. If the Contractor is participating, or plans to participate, in the Department's ~~Mentor-Protégé~~Mentor-Protégé Program, this involvement or planned involvement, should be summarized. Information concerning its subcontracting plans already submitted and approved do~~es~~ not need to be redeveloped or renegotiated.

## **Economic Development (Including Technology Transfer)**

Many of the Department's contracts include clauses dealing with technology transfer. Planning or activities developed under such clauses may apply to this element of the Contractor's Diversity Plan. Additionally, some of the subcontracting activities planned by the Contractor with small business, small disadvantaged businesses, or ~~woman-owned~~woman-owned small businesses may be entered into for the purpose of assisting the economic development of or transferring technology to such a business. The Contractor's Diversity Plan should outline and discuss its planned activities promoting economic diversification of the local community.